

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANK

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A bank includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, **Banco Regional SAECA ("Foreign Bank")** hereby certifies as follows:

B. **Correspondent Accounts Covered by this Certification:**

Check one box:

This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

This Certification applies to Correspondent Accounts established by Citigroup Inc. ("Citigroup") or any of Citigroup's subsidiaries or affiliates that are Covered Financial Institutions including, but not limited to, those institutions set forth in Schedule A which is incorporated hereto and made a part hereof, for Foreign Bank.

C. **Physical Presence/Regulated Affiliate Status:**

Check one box and complete the blanks.

Foreign Bank maintains a **physical presence** in any country. That means:

- Foreign Bank has a place of business at the following street address: **Carlos Antonio Lopez 1.348 in the city of Encarnación**, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in **Paraguay**, where Foreign Bank is authorized to conduct banking activities.
- Foreign Bank is subject to inspection by **Banco Central del Paraguay**, the banking authority that licensed Foreign Bank to conduct banking activities.

Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of _____ (insert name of institution), a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
- The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
- Foreign Bank is subject to supervision by _____ (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

Foreign Bank does not have a physical presence in a country and is **not** a regulated affiliate.



Carlos Vera
Gerente de Cumplimiento
BANCO REGIONAL S.A.E.C.A.

D. Indirect Use of Correspondent Accounts: Check box to certify.

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, if applicable.

1. Form FR Y-7 is on file. Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If neither box 1 nor 2 of Part E is checked, complete item 3 below, if applicable.

3. Foreign Bank has no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family¹ shall be considered one person.

Entity Owned	Name of the Owner	* Owner type	Country	% of Ownership	Publicly Traded/Gov Owned/Private Held or Individual
Banco Regional S.A.E.C.A	RABO PARTNERSHIPS B.V	Chain Owner	Netherlands	38,40%	Privately Held
RABO PARTNERSHIPS B.V	Cooperative Rabobank U.A.	UBO	Netherlands	100%	Privately Held
*Adicional Information		There are no other individuals with 10% or more of ownership beside the mentioned above			



F. Process Agent: complete the following.

The following individual or entity: **COGENCYGLOBAL INC** is a resident of the United States at the following street address: **10 EAST 40TH STREET, 10TH FLOOR, NEW YORK, NY 10016**, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

Carlos Vera
Carlos Vera
 Gerente de Cumplimiento
 BANCO REGIONAL S.A.E.C.A

¹ The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

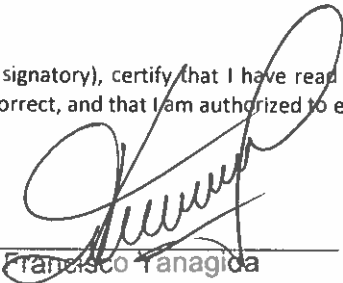
G. General

Foreign Bank hereby agrees to notify in writing Citigroup and each Citigroup subsidiary and affiliate that is a Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that Citigroup and any Citigroup subsidiary or affiliate that is a Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, **Francisco Yanagida** (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

Banco Regional SAECA



Francisco Yanagida
President of the board

Francisco Yanagida
Presidente Interino
BANCO REGIONAL S.A.E.C.A.



Carlos Vera
Compliance Officer

Carlos Vera
Gerente de Cumplimiento
BANCO REGIONAL S.A.E.C.A.

Executed on this 01 day of September, 2021.

Received and reviewed by:

Name

Title

For

Date



Certification Schedule A

This Certification applies to Foreign Bank's Correspondent Accounts established by Citigroup or any of Citigroup's subsidiaries or affiliates that are Covered Financial Institutions, whether or not such subsidiary or affiliate is included in the list below. The list below is not intended to be allinclusive. Citigroup subsidiaries and affiliates that are Covered Financial Institutions include, but are not limited to, the following: Acci Securities, Inc.; Associates Capital Bank, Inc.; Banco Nacional de Mexico, S.A. - New York Agency; California Commerce Banc Securities, Inc.; California Commerce Bank; Citibank, N.A.; Citibank (Nevada), National Association; Citibank (New York State); Citibank (South Dakota), N.A.; Citibank Delaware; Citibank International; Citibank Overseas Investment Corporation; Citibank USA, National Association; Citibank, Federal Savings Bank; Citibank (West), FSB; Citicorp Financial Services Corporation; Citicorp Investment Services; Citicorp Securities Services, Inc.; Citicorp Trust Bank, FSB; Citicorp Trust Company (Maryland); Citicorp Trust South Dakota; Citicorp Trust, N.A. (Florida); Citicorp Trust, National Association; Citigroup Global Markets Inc., formerly known as Salomon Smith Barney, Inc.; Citigroup Global Markets Puerto Rico, Inc., formerly known as Salomon Smith Barney Puerto Rico, Inc.; CitiStreet Advisors LLC; CitiStreet Equities LLC; CitiStreet International, LLC; Cititrust (New Jersey) Limited; Diners Club International; International Banking Corporation; PFS Distributors, Inc.; PFS Investments Inc.; Smith Barney Corporate Trust Company; The Geneva Companies Inc.; Tower Square Securities, Inc.; Travelers Distribution LLC; and Universal Financial Corp. 888-C (12/2010) Page 3 of 3

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107--56).

The undersigned financial institution, **BANCO REGIONAL S.A.E.C.A** ("Foreign Bank"), hereby certifies as follows:

- 1. Foreign Bank has executed a Certification dated **01/09, 2021** (the "Certification") relating to one or more Correspondent Accounts maintained by one or more Covered Financial Institutions for Foreign Bank. Terms defined in the Certification have the same meaning in this Recertification.
- 2. The information contained in the Certification:

remains true and correct.

is revised by the information provided with this Recertification (attach a statement describing the information that is no longer correct and stating the correct information).

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Recertification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Recertification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.



I, **Francisco Yanagida** (name of signatory), certify that I have read and understand this Recertification, that the statements made in this Recertification are complete and correct, and that I am authorized to execute this Recertification on behalf of Foreign Bank.

Banco Regional S.A.E.C.A [Name of Foreign Bank]

Francisco Yanagida [Signature]
Presidente Interino

ANCO REGIONAL S.A.E.C.A. [Title]

Executed on this _____ day of _____, 200_____.

Received and reviewed by:

Name: _____

Title: _____

For: _____ [Name of Covered Financial Institution]

Date: _____